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| Position: | Compliance Manager/ Money Laundering Compliance Officer/Money Laundering Compliance Officer, Jersey |
| Reports to: | Chief Compliance Officer and/or General Counsel (as applicable) |
| Responsible for: | Not applicable |

About Us

Systematica Investments Limited (acting as general partner of Systematica Investments LP) is a Jersey-based hedge fund manager with c.US\$7.7 billion assets under management (AUM) across a diversified range of trading strategies.

Purpose of Role

- To act as Compliance Officer, Money Laundering Compliance Officer, and Money Laundering Reporting Officer for Systematica Investments Limited, a JFSC, SEC, NFA and CFTC regulated entity and Systematica Investments GP Limited a JFSC and FINMA regulated entity, both of which are based in Jersey.
- To act as the principal point of contact for employees on day-to-day regulatory matters within the jurisdiction.

Primary Duties

General duties:

- Contributing to the establishment and operation of Systematica's Compliance & Risk Strategy, liaising with the Senior Management and advising on relevant regulatory matters and good practice within the industry, in order to ensure that Systematica maintains its robust business control framework.
- Supporting and working with Compliance Officers employed by other members of the Systematica Group in other jurisdictions to ensure global compliance across the Systematica Group with all applicable laws, regulations and policies.

Specific duties:

- Overseeing the provision of comprehensive advisory, monitoring and control services to Systematica's entities;
- Maintaining the various regulatory registers such as Breaches / Complaints etc.;
- Maintaining, and improving where required, the Compliance Monitoring Programme and Business Risk Assessment and reporting the results to the Board in a timely manner;
- Oversight of AML related matters such as sanction screening process and reporting on matters arising or omissions and remediation as required;
- To review customer due diligence and sign off in relation to the existing customers 'routine review cycle;
- To review and sign off 'new customer' due diligence and 'trigger event' customer due diligence as required;
- Maintaining robust risk reviews on Systematica's processes;
- Ensuring that any regulatory action points are efficiently brought to the Boards attention and that timely progress is made to address / resolve them;
- Monitoring and update of internal policies and procedures and controls ensuring consistency within the operation of the business with group standards and any regulatory obligations;
- Managing the assessment and acceptance of new business;
- Encouraging and supporting a compliance culture within Systematica's offices in Jersey and in other locations;
- Maintaining a thorough awareness of industry best practice and developments in relation to applicable regulatory laws/guidance, (both locally and internationally), including AML obligations;
- Ensuring that the Board is kept apprised of industry best practice and any such developments and changes in regulatory requirements/guidance, and that internal procedures are devised / adapted as required;
- Maintaining the Procedures / Compliance Manuals, ensuring that all staff are and remain aware of Systematica's internal procedures / obligations;

- Attending as required, multi-jurisdictional compliance meetings and ensuring that all action points are followed up and dealt with as appropriate;
- Preparing quarterly compliance reports for the Board of Directors (and any ad-hoc reports as required) and attendance at Systematica's board meetings as required;
- To act as the main point of contact with the Regulator (and any other applicable bodies) either through correspondence or in the event of any regulatory inspection to assist with any such undertaking; and
- To review and facilitate the Annual Licensee Renewals to the JFSC.

General

- Any other duties commensurate with the post holder's position and seniority; and
- All employees should understand that it is their personal responsibility to comply with all organisational, statutory and regulatory policies and procedures.

Experience, Knowledge & Skills

- At least five years' experience in a Jersey-based senior compliance role, in order to understand the complexities and breadth of the role;
- Previously authorised by the JFSC to act as a MLRO, MLCO, and CO;
- Experience within an asset management environment is strongly preferred;
- Exposure to other regulatory markets, such as the United Kingdom or Switzerland is advantageous;
- Excellent communication skills and the ability to interact with all staff at all levels;
- Strong organisational skills and the ability to manage a range of competing priorities and projects to tight deadlines;
- An analytical and methodical mind;
- Broad and pragmatic thinker; and
- Proficient in MS Office software.

Educational & Professional Qualifications

- Educated to degree (or equivalent) level or higher, preferably from a leading university;
- A relevant professional qualification, such as ICA International Diploma in Compliance.

Key Internal Relationships

The individual will be expected to liaise and/or consult with the following personnel in order for them to fulfil the requirements of their role:

- General Counsel;
- Chief Compliance Officer;
- Compliance Manager, London;
- Compliance Officer and Anti-Money Laundering Officer, Switzerland;
- Legal team;
- Chief Operating and Financial Officer;
- Chief Executive Officer;
- Head of Client Services;
- Head of Investor Relations; and
- Chief Investment Officer.

Personal Attributes

- Strategic thinker – considers long-term solutions and threats to business;
- Collaborative – works with colleagues at all levels to assist with their queries and find solutions;
- Flexible – considers all matters on their own merit and not the status quo;
- Passionate – has a genuine desire to add value to the business at every level;
- Positive – exudes a can-do approach and ethos to all colleagues; and
- Consistent – offers the right advice every time.
- Innovative – generates ideas to move forward the Fund Accounting team.

Updating this Document

This is a non-contractual document. It is possible that from time to time your job description may be reviewed and updated to ensure it is still relevant to the role you perform or to add any proposed changes. If this occurs, your line manager, in consultation with you, will discuss the details before any changes are made. You will then be issued with an updated version of your job description.